JC02 Rec'd PCT/PTO 24 JUN 2005

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER 3998-051955

CON	CERNING A SUBMISSIO	10/540/43 CFR 1.5)						
	ONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED 24,12,2002 (December 24, 2002)					
PCT/CA2003/002008 24.12.2003 (December 24, 2003) 24.12.2002 (December 24, 2002)  TITLE OF INVENTION								
Encapsulated Cell Therapy								
APPLICANT(S) FOR DO/EO/US Duncan J. STEWART, David COURTMAN and Golnaz KAROUBI								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. 🔽 TI	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. Th	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. 🔽 TI	The US has been elected (Article 31).							
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
	a. is attached hereto (required only if not communicated by the International Bureau).							
	b.  has been communicated by	the International Bureau.						
_	c. is not required, as the applic	ation was filed in the United States Receive	ing Office (RO/US).					
6.	An English language translation of the	International Application as filed (35 U.S.C	C. 371(c)(2)).					
	a. is attached hereto.							
<del></del> 1	b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
	a. are attached hereto (required only if not communicated by the International Bureau).							
	b. have been communicated by the International Bureau.							
	c. have not been made; however, the time limit for making such amendments has NOT expired.							
· —	d. have not been made and will not be made.							
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s) or information included:								
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.						
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13.	A preliminary amendment.							
14.	An Application Data Sheet under 37 CFR 1.76.							
15	A substitute specification.							
16.	A power of attorney and/or change of address letter.							
	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20   1	Other items or information:							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)			INTERNATIONAL AF	PPLICATION NO.	CATION NO. ATTORNEY'S DOCKET NUMBER			
The following rices have been submitted			PCT/CA2003/0020	PCT/CA2003/002008		3998-051955		
The following rees have been submitted					CALCULATIONS	PTO USE ONLY		
21. 🔽 Basid	national fee			\$300	\$ 300.00			
If International pr	(1)-(4)	\$ 200.00						
Search fee (37 Cl	nal Searching Au rch Report prepar	\$ 400.00						
•	TOTAL OF 21, 2	\$ 900.00						
Additional fee sequence lis	for specification ting or computer 250 for each addi							
Total Sheets	Extra Sheets		additional 50 or fraction p to a whole number)	RATE	0			
- 100 =	/50 =	:		x \$250	\$			
Surcharge of \$13 claimed priority da		rom the earliest	\$ 130.00					
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$			
Total claims	3.	7 - 20 =	17	× \$ 50	\$ 850.00			
Independent clain	ns Z	- 3 =	1	× \$200	\$ 200.00			
MULTIPLE DEPE			<u>'</u>	+ \$360	\$ 0			
	·	,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,,	TOTAL OF ABOV	E CACULATIONS =	\$ 2,080.00			
Applicant clai	ms small entity s	0						
	<u> </u>			SUBTOTAL =	\$ 2,080.00	1		
Processing fee of claimed priority da		\$ 0						
			TOTAL	NATIONAL FEE =	\$ 2,080.00			
Fee for recording by an appropriate		\$ 0						
			TOTAL F	EES ENCLOSED =	\$ 2,080.00			
					Amount to be refunded:	\$		
					Amount to be charged:	\$		
a. A check	a. A check in the amount of \$ 2,080.00 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 23-0650 . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
William H. Lo		ywar						
Webb Ziesen								
436 Seventh Avenue, 700 Koppers Building Gwen R. Ad					ker Wood, Ph.D.	<del></del>		
Pittsburgh, PA 15219-1818 NAME Telephone: (412) 471-8815 51,027								
Facsimile: (4		ON NUMBER						
TEODITORIORIE								

#### PATENT APPLICATION/PCT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:	ATTORNEY'S DOCKET NUMBER				
Duncan J. STEWART, David COURTMAN and Golnaz KAROUBI	3998-051955				
PCT/CA2003/002008					
ENTITLED					
"ENCAPSULATED CELL THERAPY"					

To MAIL STOP PCT
Attention: DO/EO/US
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number \_\_\_\_EL971224814US \_\_

Date of Deposit June 24, 2005

I hereby certify that the following attached papers or fees

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/
ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER
35 U.S.C. 371 (original and two (2) copies) and check in amount
of \$2,080.00 for filing fee and surcharge for late Declaration
LETTER RECOGNIZING ATTORNEYS
PRELIMINARY AMENDMENT with ABSTRACT
WO 2004/058305- FRONT PAGE with ABSTRACT, SPECIFICATION,
CLAIMS, DRAWINGS, SEQUENCE LISTING and SEARCH REPORT (73 pp.)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Nora Ann Pastrick
(Typed name of person mailing paper or fee)

Machine Guar Latrick
(Signature of person mailing paper or fee)

## JC09 Rec'd PCT/PTO 24 JUN 2005

Customer No. 28289

Application No. Not Yet Assigned Paper Dated: June 24, 2005

In Reply to USPTO Correspondence of N/A

Attorney Docket No. 3998-051955

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

: Not Yet Assigned

**Applicants** 

Duncan J. STEWART et al.

Filed

: Concurrently Herewith

Title

ENCAPSULATED CELL THERAPY

International Application

: No. PCT/CA2003/002008

International Filing Date

24 December 2003

Priority Date Claimed

: 24 December 2002

Customer Number

28289

#### MAIL STOP PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### LETTER RECOGNIZING ATTORNEYS

Sir:

Enclosed are appropriate papers for initiating the national phase of the above-identified PCT application, comprising a specification, claims, drawings and search report. A Preliminary Amendment is also enclosed.

Please accept the application for purposes of granting a filing date and recognize William H. Logsdon, Richard L. Byrne and Gwen R. Acker Wood, Ph.D., Registration Nos. 22,132, 28,498 and 51,027, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

Kindly direct all communications relating to this application to William H. Logsdon.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON ORKIN & HANSON, P.C.

Bv

Gwen R. Acker Wood, Ph.D., Reg. No. 51,027

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